

# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION	10.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/757,170	10/757,170 01/14/2004		Rhonda Schrader	35049-95382	3547
23644	7590	05/24/2005		EXAMINER	
BARNES & THORNBURG				PRINCE, FRED G	
P.O. BOX 2786 CHICAGO, IL 60690-2786				ART UNIT	PAPER NUMBER
	·			1724	
				DATE MAILED: 05/24/2009	5

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)	
Office Action Summer	10/757,170	SCHRADER, RHONDA	
Office Action Summary	Examiner	Art Unit	
The MAN INO DATE of the	Fred Prince	1724	
The MAILING DATE of this communication a Period for Reply	ppears on the cover sheet with t	he correspondence address	
A SHORTENED STATUTORY PERIOD FOR REP THE MAILING DATE OF THIS COMMUNICATION  - Extensions of time may be available under the provisions of 37 CFR of after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a relif NO period for reply is specified above, the maximum statutory perions Failure to reply within the set or extended period for reply will, by status Any reply received by the Office later than three months after the mail earned patent term adjustment. See 37 CFR 1.704(b).	I. 1.136(a). In no event, however, may a reply eply within the statutory minimum of thirty (3) of will apply and will expire SIX (6) MONTHS ute, cause the application to become ABANI	be timely filed  O) days will be considered timely. From the mailing date of this communication.  DONED (35 U.S.C. § 133).	
Status			
1)    Responsive to communication(s) filed on 14 2a)    This action is <b>FINAL</b> .    2b)    The 2b    The 2b    2b	nis action is non-final. vance except for formal matters		
Disposition of Claims			
4) ☐ Claim(s) 1-30 is/are pending in the application 4a) Of the above claim(s) is/are withdrest is/are withdrest is/are allowed.  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) 1-30 is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and	rawn from consideration.		
Application Papers			
9) The specification is objected to by the Examin 10) The drawing(s) filed on is/are: a) as a Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the I	ccepted or b) objected to by ne drawing(s) be held in abeyance, ection is required if the drawing(s)	See 37 CFR 1.85(a). s objected to. See 37 CFR 1.121(d).	
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority docume 2. Certified copies of the priority docume 3. Copies of the certified copies of the priority docume application from the International Bure * See the attached detailed Office action for a list	ents have been received. Ents have been received in Application of the contract of the contrac	ication No ceived in this National Stage	
Attachment(s)	_		
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/0-Paper No(s)/Mail Date</li> </ol>		mary (PTO-413) ail Date nal Patent Application (PTO-152)	

U.S. Patent and Trademark Office PTOL-326 (Rev. 1-04)

#### **DETAILED ACTION**

## Specification

1. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: There is no description of screws adjusting the slope of the tray, as recited in claim 6.

# **Drawings**

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the screws for adjusting the slope of the tray must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New

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Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

# **Double Patenting**

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

4. Claims 1-30 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-26 of U.S. Patent No. 6,895,899. Although the conflicting claims are not identical, they are not patentably distinct from each other because the subject matter recited in the patent claims may be construed broadly enough to fully encompass the subject matter called for in the instant claims.

# Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

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(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1-2, 7-9, 16-18, 22-25, 28, and 29 are rejected under 35 U.S.C. 102(b) as being anticipated by Trail (US Pat No 4,181,612).

Trail teaches an apparatus (Figs. 1-2) for servicing a bird cage comprising an animal enclosure comprising a bird cage (4) that has a permeable floor (12), a hopper (10) configured to permit draining of water, an inherent animal support (68), the receptacle defining a cavity permitting passage therethrough of the water and waste from the enclosure, a filtration unit (16), a pump (18) delivering water from the filtration unit (16) back to the receptacle (10) to permit circulation water (see Figures 1 & 2 and column 3 line 48-column 4 line 53), the water inherently containing a "fragrance" as it contains ammonia.

Per claims 9, 23, and 25, it is noted that applicant recites generic "support". It is submitted that the grid (68) is a generic "support".

## Claim Rejections - 35 USC § 103

- 7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 8. Claims 3-6 rejected under 35 U.S.C. 103(a) as being unpatentable over Trail in view of Karlson (US Pat No 1,771,492).

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Trail is described above. Trail does not disclose a tray having the recited features.

In any case, Karlson discloses a tray (5) having three sides and a void in order to, for instance, facilitate good footing to animals and provide flexibility to the configuration of the apparatus (page 1, lines 65-74).

Accordingly, it would have been readily obvious for the skilled artisan to have modified the system of Trails such that it includes the recited tray in order to, for instance, facilitate good footing to animals and provide flexibility to the configuration of the apparatus, as suggested by Karlson.

Per claims 5-6, Trail, as modified by Karlson, fails to disclose adjustably sloping the tray with a screw.

It is submitted that it is well within the purview of the skilled artisan to adjustably slope a separator via a screw in order to, for instance, quickly and efficiently remove solids from a slurry.

9. Claims 10-15, 19-21, 26-27, and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Trail.

Trail is described above. Trail further discloses that the filtration unit further comprises a screen (28), and carbon filter (32; col. 4, lines 5-7), wherein the filter supports bacteria enhancing biological treatment (col. 4, lines 43-48). Trail fails to disclose an additional porous filter layer.

It would have been readily obvious to the skilled artisan to provide the a filter with an additional porous layer in order to provide additional treatment to the water.

Per claims 13-14, 19-20, 26, and 30 Trail do not disclose a UV sterilization unit.

It would have been obvious for the skilled artisan to have added a UV sterilization unit to the system of Trail in order to provide additional treatment to the water treated in the system.

Per claims 15, 21, 27, and 30, Trail do not disclose an automatic shut-off control the pump.

It is well within the purview of the skilled artisan to use a float to automatically control a pump, in order to, for example, control the level of fluid in a receptacle.

#### Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. References are cited of interest to show the state of art.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Fred Prince whose telephone number is (571) 272-1165. The examiner can normally be reached on Monday-Thursday, 6:30-4:00; alt. Fridays 6:30-3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Duane Smith can be reached on (571) 272-1166. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Fred Prince
Primary Examiner
Art Unit 1724

fgp 5/17/05